

ALERT – Cater Allen / Cater Allen Private Bank (clone of FCA authorised firm)

The Financial Conduct Authority (“**FCA**”) would like to alert ESMA that:

Cater Allen / Cater Allen Private Bank (clone of FCA authorised firm)

Telephone: 0207196865, +86 15669214687, 0207 048 2043, 0207 048 2080

Email: admin@cater-allen.group, treasury@caterallenltd.com, trading@caterallenltd.com,
jonathan.forbes@caterallenltd.com, simon.howe@caterallen.net,
james.oakley@caterallen.net, accounts@caterallengroup.co.uk; admin@caterallengroup.co.uk

Website: <http://caterallenltd.com>

A link to the alert can be found here:

<https://www.fca.org.uk/news/warnings/cater-allen-clone>

is NOT authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments (“investments” include stocks and shares).

The FCA believes that this organisation may be targeting UK customers.