

Dear Madam or Sir

ALERT – Sparx Funds Plc (Clone of FCA Authorised Schedule 5 scheme)

The Financial Conduct Authority (“FCA”) would like to alert ESMA that:

Sparx Funds Plc (Clone of FCA Authorised Schedule 5 scheme)

Address: 20 Balderton Street, 2nd Floor South, London W1K 6TL

Telephone: 0208 144375, 0208 1239833, +35 312549192

Email: alan@sparxfunds.co.uk, info@sparxfunds.co.uk

Website: www.sparxfunds.co.uk

A link to the alert can be found here:

<https://www.fca.org.uk/news/warnings/sparx-funds-plc-clone-fca-authorized-schedule-5-scheme>

is NOT authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments (“investments” include stocks and shares).

The FCA believes that this organisation may be targeting UK customers.