

The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Name- Mitsubishi UFJ Morgan Stanley Securities Co. Ltd
Address- 5-2 Marunouchi 2-Chome, Chiyoda-ku, Tokyo 100-0005, Japan
Tel- 00852281703061
0085281917432
Email- xxxxxx.xxxxxx@sc-mufg.jp

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares). The FCA believes that this organisation may be targeting UK customers.

This entity is using the details of the following financial institution based in Japan and the following institution has no association whatsoever with the entity using above Hong Kong telephone number and email domain:

Name- Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.
Website- www.sc.mufg.jp

Please be aware Mitsubishi UFJ Morgan Stanley Co Securities., Ltd. does not usually make direct contact with retail clients outside Japan.

Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

<http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid>

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.