

FCA ALERT – Direct Fixed Income

The Financial Conduct Authority (“**FCA**”) would like to alert ESMA that:

Name: Direct Fixed Income

Website: <http://www.directfixedincome.com>

Telephone numbers: 0203 807 3420

is NOT authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments (“investments” include stocks and shares).

The FCA believes that this organisation may be targeting UK customers.