

## FCA ALERTS

The Financial Conduct Authority ("FCA") has issued a number of alerts regarding clone firms. Please refer to our warnings found as follows:

| <b>Firm name</b>   | <b>Link to Alert</b>  | <b>Date issued</b> |
|--|---|--------------------|
| ZFX Club (Clone of FCA Authorised Firm)                                      | <a href="https://www.fca.org.uk/news/warnings/zfx-club-clone-fca-authorized-firm">https://www.fca.org.uk/news/warnings/zfx-club-clone-fca-authorized-firm</a>   | 07/10/2020         |
| Lyon Royal Equity Wealth Management (Clone of EEA Authorised firm)           | <a href="https://www.fca.org.uk/news/warnings/lyon-royal-equity-wealth-management-clone-eea-authorized-firm">https://www.fca.org.uk/news/warnings/lyon-royal-equity-wealth-management-clone-eea-authorized-firm</a>                     | 07/10/2020         |
| Allianz Private Investors (Clone of EEA authorised firm)                     | <a href="https://www.fca.org.uk/news/warnings/allianz-private-investors-clone-eea-authorized-firm">https://www.fca.org.uk/news/warnings/allianz-private-investors-clone-eea-authorized-firm</a>   | 07/10/2020         |
| Natixis life and wealth investment Management (clone of EEA authorised firm) | <a href="https://www.fca.org.uk/news/warnings/natixis-life-and-wealth-investment-management-clone-eea-authorized-firm">https://www.fca.org.uk/news/warnings/natixis-life-and-wealth-investment-management-clone-eea-authorized-firm</a> | 09/10/2020         |
| Aviva Investment Solutions (clone of FCA authorised firm)                    | <a href="https://www.fca.org.uk/news/warnings/aviva-investment-solutions-clone-authorized-firm">https://www.fca.org.uk/news/warnings/aviva-investment-solutions-clone-authorized-firm</a>   | 09/10/2020         |
| Fundamental Index Emerging Equity Fund (Clone of a recognised product)       | <a href="https://www.fca.org.uk/news/warnings/fundamental-index-emerging-equity-fund-clone-recognized-product">https://www.fca.org.uk/news/warnings/fundamental-index-emerging-equity-fund-clone-recognized-product</a>                 | 09/10/2020         |
| Ambrosia Asset Management UK (clone of formerly EEA authorised firm)         | <a href="https://www.fca.org.uk/news/warnings/ambrosia-asset-management-uk-clone-formerly-eea-authorized-firm">https://www.fca.org.uk/news/warnings/ambrosia-asset-management-uk-clone-formerly-eea-authorized-firm</a>                 | 09/10/2020         |
| JMS Bonds (clone of EEA authorised firm)                                     | <a href="https://www.fca.org.uk/news/warnings/jms-bonds-clone-eea-authorized-firm">https://www.fca.org.uk/news/warnings/jms-bonds-clone-eea-authorized-firm</a>   | 09/10/2020         |