

FCA ALERTS

The Financial Conduct Authority (“**FCA**”) has issued a number of alerts regarding clone firms. Please refer to our warnings found as follows:

| Firm name | Link to Alert | Date issued |
|---|---|--------------------|
| Investec Plc / Investec Asset Management (clone of FCA authorised firms and UK registered company) | https://www.fca.org.uk/news/warnings/investec-plc-investec-asset-management-clone-fca-authorized-firms-and-uk-registered-company | 28/10/2020 |
| Redmaine Cooper Price (clone of EEA authorised firm) | https://www.fca.org.uk/news/warnings/redmaine-cooper-price-clone-fca-authorized-firm | 29/10/2020 |
| BDS London (clone of EEA authorised firm) | https://www.fca.org.uk/news/warnings/bds-london-clone-eea-authorized-firm | 29/10/2020 |
| Standard Life Bonds (clone of FCA authorised firm) | https://www.fca.org.uk/news/warnings/standard-life-bonds-clone-fca-authorized-firm | 29/10/2020 |
| Standard Life Aberdeen (clone of FCA recognised product and UK registered company) | https://www.fca.org.uk/news/warnings/standard-life-aberdeen-clone-recognized-product-uk-registered-company | 29/10/2020 |
| RBC Capital Markets / RBC Investor & Treasury Services / RBC Financial Group (clone of authorised firm) | https://www.fca.org.uk/news/warnings/rbc-capital-markets-rbc-investor-treasury-services-rbc-financial-group-clone-authorized-firm | 30/10/2020 |
| Catalysts Partners Africa Limited (clone of FCA authorised firm) | https://www.fca.org.uk/news/warnings/catalysts-partners-africa-limited-clone-fca-authorized-firm | 30/10/2020 |
| Integral Fund / Integral Asset Management Ltd (clone of authorised firm) | https://www.fca.org.uk/news/warnings/integral-fund-integral-asset-management-ltd-clone-authorized-firm | 30/10/2020 |
| Live Forex Times (clone of FCA authorised firm) | https://www.fca.org.uk/news/warnings/live-forex-times-clone-fca-authorized-firm | 30/10/2020 |