



FINANSTILSYNET

THE FINANCIAL SUPERVISORY
AUTHORITY OF NORWAY

Application for Approval or Submission of Confirmation pursuant to the establishment of legal rights on behalf of foreign nominees established with a branch in Norway

(Pursuant to the Norwegian CSD Act section 4-4 fifth subsection, cf. section 7-7 second subsection)

The application or submission shall include the following information:

- 1) The company's name, office address, postal address, and e-mail address
- 2) The company's business registration number or equivalent number, and LEI number
- 3) The subsidiary's name and Norwegian business registration number
- 4) What the inquiry comprises
 - a. Application for approval of rules for the establishment of a legal right pursuant to the CSD Act section 4-4 fifth subsection *or*
 - b. Confirmation that no nominee registration is exercised through the Norwegian branch and that Norwegian rules of legal protection do not apply to the company's nominee activities
- 5) Contact person for questions related to the application (name, title, e-mail address)
- 6) If relevant, attachment: The company's rules for the establishment of a legal right