**EMIR: Notification for an intragroup exemption from reporting**

The notification concerns the use of an intragroup exemption from reporting OTC-derivative transactions to a trade repository for a Norwegian counterparty in terms with Regulation (EU) 2019/834 article 1 (7) a amending Regulation (EU) No 648/2012 article 9.

1. **Information about the notifying party**

|  |  |
| --- | --- |
| Name of entity |  |
| LEI/Orgno |  |
| Address |  |
| Postcode |  | Place |  |
| Country |  |

|  |  |
| --- | --- |
| If the notification concerns more counterparties in the group, please describe the intragroup relation: |  |

1. **The notification concerns the following counterparties established in Norway**

NOTICE: An intragroup counterparty established outside Norway is to notify its intention to apply the exemption to the relevant competent authority in the country of establishment.

|  |  |  |
| --- | --- | --- |
| **No** | **LEI/Orgnr** | **Name of counterparty** (please add rows as needed) |
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1. **Conditions to apply the reporting exemption**

Tick the box:

[ ]  At least one of the counterparties is a non-financial counterparty or would be qualified as a

 non-financial counterparty if it were established in the EEA,

[ ]  both counterparties are included in the same consolidation on a full basis,

[ ]  both counterparties are subject to appropriate centralised risk evaluation, measurement

 and control procedures; and

[ ]  the parent undertaking is not a financial counterparty.

Finanstilsynet can at any time ask the counterparty to prove the fulfilment of the above conditions, including a description of the centralised risk evaluation, measurement and control procedures, together with the intragroup pairs existing for Norwegian counterparties applying the exemption.

1. **Signature and confirmation**
	1. **Confirmation of authority etc.**

|  |  |
| --- | --- |
| [ ]  | I hereby confirm that this form is filled in by, or on behalf of, a counterparty who intend to apply the exemption, and that the necessary authorization from the party exists.  |

|  |  |
| --- | --- |
| [ ]  | I hereby confirm that any new Norwegian counterparty in the group, who intend to apply the exemption from reporting, will be notified to Finanstilsynet consecutively.  |

|  |  |
| --- | --- |
| [ ]  | I hereby confirm that any change implying the fulfilment of the conditions for an intragroup exemption from reporting is no longer in place, will be notified to Finanstilsynet.  |

* 1. **Signature**

|  |  |  |  |
| --- | --- | --- | --- |
| Date |  | Place |  |
| Notifying person |  |
| Position within the entity  |  |
| Phone number |  |
| Email address |  |
| Signature |  |

Please send the form to: post@finanstilsynet.no

Dette skjema er også tilgjengelig på norsk på [www.finanstilsynet.no](http://www.finanstilsynet.no)

Please note the following about encryption of email:

*Finanstilsynet uses Transport Layer Security (TLS) for encryption of emails to ensure that data are securely exchanged over a network.* For more *information on TLS, please read here (in Norwegian only):* <https://www.nsm.stat.no/publikasjoner/regelverk/veiledninger/veiledning-for-systemteknisk-sikkerhet/sikring-avkommunikasjon-med-tls/>

For further information on encryption, please contact Finanstilsynet.

The submitter is responsible for the encryption of emails with sensitive information.